

ASYMPTOTICS AND HOLOGRAPHIC DUALITY

Marios Petropoulos

FOURTH SYMPOSIUM ON THEORETICAL PHYSICS
INSTITUTE OF THEORETICAL PHYSICS – ARISTOTLE UNIVERSITY OF THESSALONIKI

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HIGHLIGHTS

- 1 BIG PICTURE AND GOALS
- 2 ASYMPTOTICS AND THE AdS PARADIGM
- 3 ASYMPTOTICALLY FLAT SPACETIMES
- 4 SUMMARY

SIXTIES – THE DAWN OF GR RENAISSANCE

KEY EXPERIMENTAL AND THEORETICAL BREAKTHROUGHS

- Gravitational redshift (Pound & Rebka 1959)
- Quasars (Hong-Yee Chiu 1964)
- Shapiro effect (1964)
- CMB (Penzas & Wilson 1965)
- Black-hole physics (Penrose paper on singularity formation: 1965)
- ...

1962 BONDI, VAN DER BURG, METZNER AND SACHS

Asymptotic symmetry group of a *strict* asymptotically flat spacetime in four dimensions

$$\text{Lorentz} \times \text{Supertranslations} \longrightarrow 6 + \infty \equiv \text{BMS}_4$$

rather than Poincaré \equiv Lorentz \times Translations $\longrightarrow 6 + 4$

RECOGNIZED AS A VALUABLE TOOL (ASHTEKAR, KOMAR, PENROSE AND MANY OTHERS) [SEE BRUSSELS SCHOOL FOR MODERN PERSPECTIVE AND DEVELOPMENTS]

- Classical: solution space, conserved charges, algebra etc.
- Quantum: BMS_4 -invariant S matrix (massless particles)

ANTI DE SITTER

Maximally symmetric Einstein spacetime with negative curvature (cosmological constant)

EINSTEIN SPACETIMES PALETTE OF ASYMPTOTIC SYMMETRIES

e.g. for *strict* AdS_n asymptotics

$$\text{SO}(n-1, 2) \longrightarrow n(n+1)/2 \equiv \text{conformal group in } n-1 \text{ dim}$$

→ symmetry of the *boundary field theory* → CFT

AdS/CFT HOLOGRAPHIC CORRESPONDENCE

Type IIB string theory in the *bulk* and $N = 4$ super-Yang–Mills on the *boundary* – AdS_5 soon extended to arbitrary dimension

INTRIGUING & TIMELY QUESTION

WHAT ABOUT ASYMPTOTICALLY FLAT SPACETIME HOLOGRAPHY?

$n - 1$ -dim boundary theory invariant under BMS_n

CLUES FROM SOLVING CLASSICAL EQUATIONS

“PHENOMENOLOGICAL” HOLOGRAPHY

- Keep only gravitational dynamics in the bulk
- Interpret the solution space from a boundary perspective

Gravitational bulk dynamics encoded in boundary data →
holographic interpretation

CENTRAL QUESTIONS ON “FLAT HOLOGRAPHY”

- Where/which is the boundary?
- How is BMS symmetry implemented on the boundary?
- What are the boundary degrees of freedom?

Subject of the talk

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ASYMPTOTIC SYMMETRIES IN DIMENSION n

BASIC FIELD IN GRAVITY: THE CURVATURE

potential: metric $G_{AB} \rightarrow$ diffeomorphism invariance

THE GAUGE-FIXING APPROACH

- Fix a gauge: n conditions
- Determine the residual diffeomorphisms
- Set “reasonable” fall-offs/boundary conditions

The *asymptotic symmetry group* (ASG) is the subset of residual diffeomorphisms compatible with the adopted fall-offs

THE ELECTROMAGNETIC PARADIGM

BASIC FIELD: $F_{AB} = \partial_A A_B - \partial_B A_A$

potential: $A_B \rightarrow$ gauge invariance $A_B \rightarrow A_B + \partial_B \lambda$

THE GAUGE-FIXING APPROACH

- Fix a gauge e.g. Lorenz gauge: $\nabla_B A^B = 0$
- Determine the residual diffeomorphisms: $\square \lambda = 0$

The residual symmetry group is the set of harmonic functions

EINSTEIN SPACETIMES WITH $\Lambda < 0$ IN n DIMENSIONS

THE NEWMAN-UNTI GAUGE ($r, t, x^i, i = 1, \dots, n-2$)

- Gauge conditions: $G_{rr} = 0, G_{rt} = -1, G_{ri} = 0$

$$ds^2 = \frac{V}{r} dt^2 - 2dt dr + G_{ij} (dx^i - U^i dt) (dx^j - U^j dt)$$

V, G_{ij}, U^i functions of *all* coordinates

- Residual diffeomorphisms: $\omega(t, \mathbf{x}), f(t, \mathbf{x}), Y^i(t, \mathbf{x})$
- Several options for fall-offs/boundary conditions
 - mildest boundary conditions \rightarrow Weyl, supertranslations and superrotations (\ni singular) – ∞ -dim
 - Dirichlet boundary conditions e.g. locked $G_{ij} \simeq r^2 S^{n-2} \rightarrow \omega = 0, f$ restricted, Y^i conformal $\rightarrow SO(n-1, 2)$ conformal group in $n-1$ dimensions – finite (AdS/CFT paradigm)

INCOMPLETE NEWMAN–UNTI GAUGE FIXING [CIAMBELLI, MARTEAU, PETROPOULOS,

RUZZICONI '20; CIAMBELLI, MARTEAU, PETKOU, PETROPOULOS, RIVERA, RUZZICONI, SIAMPOS]

- Gauge conditions: $G_{rr} = 0$, $G_{rt} = -1$, $G_{ri} \neq 0$
- Mild boundary conditions \rightarrow Weyl, supertranslations, superrotations plus local $SO(n-2, 1) - \infty$ -dim

EINSTEIN SPACETIMES RECONSTRUCTED

SOLUTION SPACE WITH INCOMPLETE NEWMAN–UNTI GAUGE AND MILD BOUNDARY CONDITIONS

- $\frac{n(n-1)+2}{2}$ Einstein's equations $\rightarrow n^2 - 3$ functions of (t, \mathbf{x})
 \rightarrow boundary data $[\mu, \nu = 0, 1, \dots, n - 2]$
 - $g_{\mu\nu}$ symmetric $\leftarrow \frac{n(n-1)}{2}$
boundary metric
 - $T_{\mu\nu}$ symmetric and traceless $\leftarrow \frac{n(n-1)}{2} - 1$
conformal boundary energy-momentum tensor
 - $u^\mu \leftarrow n - 2$
boundary normalized vector field
- remaining $n - 1$ Einstein's equations

$$\nabla_\mu T^{\mu\nu} = 0$$

\rightarrow map to a Weyl-covariant relativistic fluid with velocity u^μ –
trigger for fluid/gravity holographic correspondence [Bhattacharyya,

- IGNORING MATTER CURRENT AND CHEMICAL POTENTIAL
- ON ARBITRARY (NON-FLAT) GEOMETRY $g_{\mu\nu}$ OF DIM $d + 1$

$\nabla_{\mu} T^{\mu\nu} = 0$ plus Gibbs–Duhem & equation of state (conformal)

- $\|u\|^2 = -k^2 \quad h^{\mu\nu} = g^{\mu\nu} + \frac{u^{\mu} u^{\nu}}{k^2}$

$$T^{\mu\nu} = \varepsilon \frac{u^{\mu} u^{\nu}}{k^2} + p h^{\mu\nu} + \tau^{\mu\nu} + \frac{u^{\mu} q^{\mu}}{k^2} + \frac{u^{\nu} q^{\mu}}{k^2}$$

- energy density $\varepsilon = \frac{1}{k^2} T_{\mu\nu} u^{\mu} u^{\nu}$ thermodynamic pressure p
- heat current and viscous stress tensor q^{μ} , $\tau^{\mu\nu}$ – transverse
- fluid velocity u^{μ} – arbitrary [Eckart '40; Landau and Lifshitz '60]

PROPERTIES HERE

INFINITE-DIM BULK ASG \equiv BOUNDARY-FLUID INVARIANCE

Weyl, $sT \times sR \equiv$ boundary diffeos & local $SO(n-2, 1) \equiv$ hydrodynamic-frame invariance

Choosing a hydrodynamic frame discards the local $SO(n-2, 1)$ invariance and completes the bulk gauge fixing (e.g. $\mathbf{u} = -k^2 dt$)

IMPOSING THE VELOCITY $\dot{\mathcal{C}}$ DIRICHLET BOUNDARY CONDITIONS

$$g_{\mu\nu} = \eta_{\mu\nu}$$

ASG \equiv conformal group in $n-1$ dim - $SO(n-1, 2)$

THE SEED FOR A HOLOGRAPHIC DICTIONARY

IN $n = 4$ DIMENSIONS WITH COMPLETE GAUGE FIXING

General solution with $\Lambda = -3k^2$: 6 + 5 arbitrary boundary data

- $g_{\mu\nu}$ ($ds^2 = -k^2 (\Omega dt - b_i dx^i)^2 + a_{ij} dx^i dx^j$)
- $T_{\mu\nu} \rightarrow \{\varepsilon = 2p, q^\mu, \tau^{\mu\nu}\}$ with $\tau^\mu{}_\mu = 0$ & $\nabla_\mu T^{\mu\nu} = 0$

$$\begin{aligned} ds_{\text{Einstein}}^2 &= 2 \frac{\mathbf{u}}{k^2} (dr + r\mathbf{A}) + r^2 ds^2 - 2 \frac{r}{k^2} \sigma_{\mu\nu} dx^\mu dx^\nu + \frac{S}{k^4} \\ &+ \frac{8\pi G}{k^4 r} \left[\varepsilon \mathbf{u}^2 + \frac{4\mathbf{u}}{3} \left(\mathbf{q} - \frac{1}{8\pi G} * \mathbf{c} \right) \right. \\ &\left. + \frac{2k^2}{3} \left(\boldsymbol{\tau} + \frac{1}{8\pi G k^2} * \mathbf{c} \right) \right] + \mathcal{O}(1/r^2) \end{aligned}$$

$$\begin{aligned} S_{\mu\nu} &= 2u_{(\mu} \mathcal{D}_{\lambda} (\sigma_{\nu)}{}^\lambda + \omega_{\nu)}{}^\lambda) - \frac{\mathcal{R}}{2} u_\mu u_\nu + 2\omega_{(\mu}{}^\lambda \sigma_{\nu)\lambda} + (\sigma^2 + k^4 \gamma^2) h_{\mu\nu} \\ C_{\mu\nu} &\rightarrow \{c, c^\mu, c^{\mu\nu}\} \text{ with } c^\mu{}_\mu = 0 \text{ \& } \nabla_\mu C^{\mu\nu} = 0 \text{ (Cotton)} \end{aligned}$$

GENUINE DUALITY

between

- bulk gravitational theory with AdS_n asymptotics
- boundary CFT – quantum theory with $SO(n - 1, 2)$ symmetry

enables the computation of correlation functions with $g_{\mu\nu}$ a source and $T_{\mu\nu}$ a vev

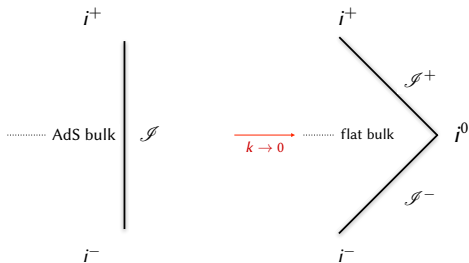
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THE ASYMPTOTIC STRUCTURE

FROM AdS_n TO FLAT_n ASYMPTOTICS

$$\Lambda = -\frac{(n-1)(n-2)}{2}k^2 \rightarrow 0$$



EMERGENCE OF CARROLLIAN GEOMETRY IN $n - 1$ DIMENSIONS

- $ds^2 = -k^2 (\Omega dt - b_i dx^i)^2 + a_{ij} dx^i dx^j \rightarrow a_{ij} dx^i dx^j = d\ell^2$
- *Carrollian diffeomorphisms* $t' = t'(t, \mathbf{x})$ $\mathbf{x}' = \mathbf{x}'(\mathbf{x})$

RICCI-FLAT IN INCOMPLETE NEWMAN–UNTI GAUGE

FIRST HINT IN $n = 4$ $\lim_{k \rightarrow 0} Ds_{\text{EINSTEIN}}^2$ [CIAMBELLI ET AL. '18]

$ds_{\text{Ricci-flat}}^2$ described in terms of *Carrollian boundary data*

- **Carrollian geometry (6)**
 - degenerate metric $d\ell^2 = a_{ij}dx^i dx^j$ (3)
 - Ehresmann connection $\Omega dt - b_i dx^i$ (3)
- **Carrollian fluid (5)**
 - energy ε (1)
 - momenta – heat current π_i (2) and stress tensor E_{ij} (2)
- **Carrollian-fluid “velocity” (2)**

FULL SOLUTION SPACE [BRUSSELS SCHOOL; CIAMBELLI ET AL. '21]

infinite number of further Carrollian data obeying Carrollian dynamics – at every $O(1/r^n)$

RICCI-FLAT SPACETIMES UP TO $O(1/r^2)$

$$\begin{aligned}
 ds_{\text{flat}}^2 = & 2\mu \left(dr + r\varphi_a \mu^a - r \frac{\theta}{2} \mu + * \mu^b \hat{\mathcal{D}}_b * \varpi - \frac{1}{2} \mu^a \hat{\mathcal{D}}_b \mathcal{C}^b_a \right) \\
 & + \left(\rho^2 + \frac{\mathcal{C}_{cd} \mathcal{C}^{cd}}{8} \right) d\ell^2 + \mathcal{C}_{ab} (r \mu^a \mu^b - * \varpi * \mu^a \mu^b) \\
 & + \frac{1}{r} \left[\left(8\pi G \varepsilon - \hat{\mathcal{K}} \right) \mu^2 + \frac{32\pi G}{3} \left(\pi_a - \frac{1}{8\pi G} * \psi_a \right) \mu \mu^a \right. \\
 & \left. - \frac{16\pi G}{3} E_{ab} \mu^a \mu^b \right] + O(1/r^2) \quad \begin{cases} \mu = \lim_{k \rightarrow 0} \frac{u}{k^2} \\ \rho^2 = r^2 + * \varpi^2 \\ \mathcal{N}_{ab} = \hat{\mathcal{D}}_v \mathcal{C}_{ab} \end{cases}
 \end{aligned}$$

BULK ASG MATCHES THE BOUNDARY INVARIANCES

- Weyl $\omega(t, \mathbf{x})$
- $sT f(t, \mathbf{x}) \rtimes sR Y^i(\mathbf{x}) \equiv$ Carrollian diffeos
- Carrollian hydrodynamic-frame transformations

for Dirichlet ($d\ell^2 \simeq S^2$ & zero Ehresmann) \rightarrow $BMS_4 \equiv C\text{Carroll}_3$

HINTS FOR FLAT HOLOGRAPHY

FUNDAMENTAL FEATURE

bulk reconstruction \rightarrow infinite number of boundary data
 \Rightarrow possibly *non-holographic* bulk/boundary duality

THE 3-DIM DUAL FIELD THEORY ON THE CARROLLIAN BRY.

- must be invariant under $C\text{Carroll}_3 \equiv \text{BMS}_4 \equiv \mathfrak{sT} \rtimes SL(2, \mathbb{C})$
- expected to be *non-local*

progress requires reinterpreting gravitational processes from a boundary perspective

CELESTIAL VERSUS CHTHONIAN HOLOGRAPHY

WHAT ABOUT $\text{FLAT}_4/\text{CFT}_2$ CELESTIAL HOLOGRAPHY? [HARVARD SCHOOL]

FRAMEWORK

- $\mathcal{S}_2 \equiv$ spatial section of the Carrollian bry.
- 2-dim energy–momentum tensor $\sim \int dt \mathcal{N}_{ab}$

ACHIEVEMENTS mapping of some massless bulk S -matrix elements to CFT_2 correlators

FEATURES

- non-local, non-AdS-like en.–mom. tensor
- limited to $SL(2, \mathbb{C})$ invariance – vs. BMS_4
- ignores the *deep chthonian* degrees of freedom

kinematic book-keeping device for *radiation* S -matrix

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FACTS & QUOTABLE

Flat bulk is mapped onto BMS/Carrollian boundary dynamics

- supports a *Carrollian-fluid/flat-gravity* sector
- requires infinite sets of *deep* boundary data
- suggests flat-holographic duals are *non-local* field theories

WORTH INVESTIGATING

- pursue the quest of BMS-invariant field theories [Le Bellac, Lévy-Leblond '73; Souriau '85; Duval et al. '14; Bagchi et al. '20; Henneaux, Salgado-Rebolledo '21]
- circumscribe the precise role of celestial CFT

5 A PRIMER ON CARROLLIAN GEOMETRY

6 RELATIVISTIC FLUIDS AND THEIR LOCAL SYMMETRIES

BASIC INGREDIENTS IN $d + 1$ DIMENSIONS

- degenerate metric: $d\ell^2 = a_{ij}(t, \mathbf{x})dx^i dx^j \quad i, j = 1, \dots, d$
- Ehresmann connection: $\mathbf{e} = \Omega dt - b_i dx^i$

GENERAL COVARIANCE

Carrollian diffeomorphisms: $t' = t'(t, \mathbf{x}) \quad \mathbf{x}' = \mathbf{x}'(\mathbf{x})$

EXAMPLE: ZERO-C LIMIT OF MINKOWSKI SPACETIME [LÉVY-LEBLOND '65]

- $d\ell^2 = \delta_{ij} dx^i dx^j \quad \mathbf{e} = dt$
- isometries: Carroll group $\begin{cases} t' = t + B_i x^i + t_0, \\ x'^k = R_i^k x^i + x_0^k \end{cases}$

PROPERTY

$\mathbb{C}\text{Carroll}_{d+1} \equiv \text{BMS}_{d+2}$

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$\nabla_{\mu} T^{\mu\nu} = 0$ plus Gibbs–Duhem & equation of state (conformal)

$$T^{\mu\nu} = \varepsilon \frac{u^{\mu} u^{\nu}}{k^2} + p h^{\mu\nu} + \tau^{\mu\nu} + \frac{u^{\mu} q^{\nu}}{k^2} + \frac{u^{\nu} q^{\mu}}{k^2}$$

- $\|u\|^2 = -k^2$ $h^{\mu\nu} = g^{\mu\nu} + \frac{u^{\mu} u^{\nu}}{k^2}$
- **energy density** $\varepsilon = \frac{1}{k^2} T_{\mu\nu} u^{\mu} u^{\nu}$ **thermodynamic pressure** p
- **heat current and viscous stress tensor** q^{μ} , $\tau^{\mu\nu}$ – transverse normally expressed as u^{μ} - and T -derivative expansions with transport coefficients

GENERAL COVARIANCE AND WEYL INVARIANCE

FLUID EQUATIONS COVARIANT – DIFFEOMORPHISM INVARIANCE

Diffeomorphisms are generated by vector fields ($i, j = 1, \dots, d$)

$$\xi = f\partial_t + Y^i\partial_i$$

$f(t, \mathbf{x})$ and $Y^i(t, \mathbf{x})$ $d + 1$ functions of time and space

$$\delta_\xi = -\mathcal{L}_\xi$$

CONFORMAL (WEYL-COVARIANT) FLUIDS: FLUID EQUATIONS INVARIANT UNDER ARBITRARY RESCALING OF THE METRIC

$$\delta_\omega g_{\mu\nu} = -2\omega g_{\mu\nu} \quad \delta_\omega u^\mu = \omega u^\mu$$

$\omega(t, \mathbf{x})$ arbitrary function of time and space

$$\delta_\omega = w\omega$$

THE HYDRODYNAMIC-FRAME INVARIANCE

LANDAU-LIFSHITZ'S FOLLOWING 1940 ECKART'S STATEMENTS

[THEORETICAL PHYSICS VOL. 6 §136]

u^μ is not physical/measurable – a book-keeping device

TRANSLATION: GAUGE INVARIANCE

Arbitrary *local* Lorentz transformations of u^μ can be compensated by appropriate modifications of T , ε , p , q^μ , $\tau^{\mu\nu}$ such that $T^{\mu\nu}$ and the entropy current S^μ remain invariant

Note: These are *not* Lorentz isometries (generally absent) but tangent-space *local* transformations generated by Z^i (d boosts), S_{ij} antisymmetric ($d(d-1)/2$ rotations)

CONFORMAL-FLUID SYMMETRIES ON ARBITRARY BACKGROUNDS

∞ -dim generated by $\{\omega(t, \mathbf{x}), f(t, \mathbf{x}), Y^i(t, \mathbf{x}), Z^i(t, \mathbf{x}), S_{ij}(t, \mathbf{x})\}$